



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bret S. Weber et al.
Application No.: 09/607,300
Filed: June 30, 2000

Group No.: 2187
Examiner: K. Takeguchi

For: METHODS AND STRUCTURES FOR AN EXTENSIBLE RAID
STORAGE ARCHITECTURE

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450

RECEIVED

MAY 21 2003

Technology Center 2100


CERTIFICATE OF MAILING

Date of Deposit: 15 May 2003

I hereby state that the following *attached* papers

- 1) Request for Continued Examination (RCE) Transmittal, with copy for fee processing (2 pages)
- 2) Fee Transmittal including copy for fee processing (2 pages)
- 3) Copy of Response to Final Office Action and filing documents, previously filed 18 April 2003 (16 pages)
- 4) Certificate of Mailing (1 page)
- 5) Return Postcards (2)

are being deposited with the United States Postal Service service under 37 CFR 1.8, on the date indicated above and is addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Michelle Ford

Practitioner's Docket No. 98-063 [395930]

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bret S. Weber et al.

Application No.: 09/607,300
Filed: June 30, 2000

Group No.: 2187
Examiner: Takeguchi, Kathy K.

For: **METHODS AND STRUCTURES FOR AN EXTENSIBLE RAID STORAGE
ARCHITECTURE**

Box: AF
Honorable Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAY 21 2003

CERTIFICATE OF MAILING

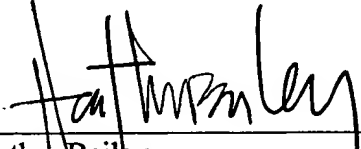
Technology Center 2100

Date of Deposit: 18 April, 2003

I hereby state that the following *attached* papers

1. Transmittal Form (1 page)
2. Response to Final Office Action mailed 11 March 2003 (14 pages)
3. Certificate of Mailing (1 page)
4. Return Postcards (2)

are being deposited with the United States Postal Service service under 37 CFR 1.8, on the date indicated above and is addressed to: Box: AF Honorable Commissioner for Patents, Washington, D.C. 20231.



Heather Bailey

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number 09/607,300

Filing Date June 30, 2000

First Named Inventor Bret S. Weber

Group Art Unit 2187

Examiner Name Takeguchi, Kathy K.

Total Number of Pages in This Submission 16

Attorney Docket Number 98-063

RECEIVED

MAY 21 2003

Technology Center 2100

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☒ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Certificate of Mailing; Return Postcards (2)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or

Individual name

Daniel N. Fishman, Reg. No. 35,512
Lathrop & Gage, L.C.

Signature

Date

18 APR 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box AF Honorable Commissioner for Patents, Washington, D.C. 20231 on this date: April 18, 2003

Typed or printed name

Heather Bailey

Signature

Date

4/18/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 750

Complete if Known

Application Number 09/607,300
 Filing Date 30 June 2003
 First Named Inventor Bret S. Weber et al.
 Examiner Name K. Takeguchi
 Art Unit 2187
 Attorney Docket No. 98-063

RECEIVED

MAY 21 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
 Order

☒ Deposit Account:

Deposit Account Number 12-2252

Deposit Account Name LSI Logic Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	750		2001	375	Utility filing fee	
	1002	330		2002	165	Design filing fee	
	1003	520		2003	260	Plant filing fee	
	1004	750		2004	375	Reissue filing fee	
	1005	160		2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

Total Claims 20 ** = 0 X Fee from below = 0
 Independent Claims 3 ** = 0 X Fee from below = 0
 Multiple Dependent X Fee from below = 0

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18		2202	9	Claims in excess of 20
	1201	84		2201	42	Independent claims in excess of 3
	1203	280		2203	140	Multiple dependent claim, if not paid
	1204	84		2204	42	** Reissue independent claims over original patent
	1205	18		2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130		2051	65	Surcharge - late filing fee or oath	
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet	
	1053	130		1053	130	Non-English specification	
	1812	2,520		1812	2,520	For filing a request for reexamination	
	1804	920*		1804	920*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*		1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110		2251	55	Extension for reply within first month	
	1252	410		2252	205	Extension for reply within second month	
	1253	930		2253	465	Extension for reply within third month	
	1254	1,450		2254	725	Extension for reply within fourth month	
	1255	1,970		2255	985	Extension for reply within fifth month	
	1401	320		2401	160	Notice of Appeal	
	1402	320		2402	160	Filing a brief in support of an appeal	
	1403	280		2403	140	Request for oral hearing	
	1451	1,510		1451	1,510	Petition to institute a public use proceeding	
	1452	110		2452	55	Petition to revive - unavoidable	
	1453	1,300		2453	650	Petition to revive - unintentional	
	1501	1,300		2501	650	Utility issue fee (or reissue)	
	1502	470		2502	235	Design issue fee	
	1503	630		2503	315	Plant issue fee	
	1460	130		1460	130	Petitions to the Commissioner	
	1807	50		1807	50	Processing fee under 37 CFR 1.17 (q)	
	1806	180		1806	180	Submission of Information Disclosure Stmt	
	8021	40		8021	40	Recording each patent assignment per property (times number of properties)	
	1809	750		2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	750		2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	750		2801	375	Request for Continued Examination (RCE)	750
	1802	900		1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 750

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Daniel M. Fishman Registration No. Attorney/Agent 35,512 Telephone (720) 931-3000
 Signature [Signature] Date 15 MAY 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.